

# Database Systems

## Database Design

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## Topics

### Normalization

Introduction  
Normal Forms  
3rd Normal Form

### Entity/Relationship Model

Introduction  
E/R Diagrams

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## Functional Dependency

### Definition

- ▶ let  $Z$  be the set of all attributes of relation  $R$ , and  $A, B \subseteq Z$
- ▶  $A$  functionally determines  $B$ :  $A \rightarrow B$   
for every  $A$  value there is only one  $B$  value
- ▶ every functional dependency is an integrity constraint

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## Functional Dependency Examples

### Example

Table: R

MOVIEID	TITLE	COU	LANG	ACTORID	NAME	ORD
6	Usual Suspects	UK	EN	308	Gabriel Byrne	2
228	Ed Wood	US	EN	26	Johnny Depp	1
70	Being John Malkovich	US	EN	282	Cameron Diaz	2
1512	Suspiria	IT	IT	745	Udo Kier	9
70	Being John Malkovich	US	EN	503	John Malkovich	14

- ▶ assumption: the language of the movie is the language of the country where it was made

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## Functional Dependency Examples

### Example

- ▶  $MOVIEID \rightarrow COUNTRY$
- ▶  $ACTORID \rightarrow NAME$
- ▶  $MOVIEID \rightarrow \{TITLE, COUNTRY, LANGUAGE\}$
- ▶  $\{MOVIEID, ACTORID\} \rightarrow COUNTRY$
- ▶  $\{MOVIEID, ACTORID\} \rightarrow MOVIEID$
- ▶  $\{MOVIEID, ACTORID\} \rightarrow ORD$
- ▶  $\{MOVIEID, ACTORID\} \rightarrow \{COUNTRY, ORD\}$
- ▶  $COUNTRY \rightarrow LANGUAGE$

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## Irreducible Set

- ▶  $S$ : the set of all FDs of the relation
- ▶  $T \subseteq S$ , such that
  - ▶  $T$  contains as few elements as possible
  - ▶ every FD in  $S$  can be derived by the FDs in  $T$
- ▶ let there be only one attribute on the right hand side of FDs

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## Irreducible Set Example

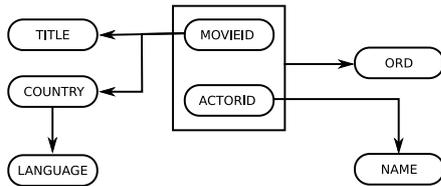
### Example

- ▶  $MOVIEID \rightarrow TITLE$
- ▶  $MOVIEID \rightarrow COUNTRY$
- ▶  $COUNTRY \rightarrow LANGUAGE$
- ▶  $ACTORID \rightarrow NAME$
- ▶  $\{MOVIEID, ACTORID\} \rightarrow ORD$

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## Dependence Diagram

### Example



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## Normal Forms

- ▶ 1NF, 2NF, 3NF, BCNF, 4NF, 5NF
- ▶ every form narrows down the scope of the previous form
  - ▶ every relation in 2NF is also in 1NF
  - ▶ every relation in 3NF is also in 2NF, ...
- ▶ 1NF: attribute values are atomic

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## Normalization

### Definition

#### normalization:

transition from one form to the next, narrower form

- ▶ transition between normal forms must be lossless

### Theorem (Heath)

- ▶  $Z$ :  $R$  the set of all attributes of the relation
- ▶  $A, B, C \subseteq Z$
- ▶ if  $A \rightarrow B$ , then  $R$  can be obtained by joining the relations  $\{A, B\}$  and  $\{A, C\}$

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## Lossless Transition Example

### Example

Table: R1

MOVIEID	TITLE	COU	LANG
6	Usual Suspects	UK	EN
228	Ed Wood	US	EN
70	Being John Malkovich	US	EN
1512	Suspiria	IT	IT

Table: R2

MOVIEID	ACTORID	NAME	ORD
6	308	Gabriel Byrne	2
228	26	Johnny Depp	1
70	282	Cameron Diaz	2
1512	745	Udo Kier	9
70	503	John Malkovich	14

- ▶  $R = \text{natjoin}(R1)(R2)$

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## Lossy Transition Example

### Example

Table: R1

MOVIEID	TITLE	COU	LANG
6	Usual Suspects	UK	EN
228	Ed Wood	US	EN
70	Being John Malkovich	US	EN
1512	Suspiria	IT	IT

Table: R2

COU	ACTORID	NAME	ORD
UK	308	Gabriel Byrne	2
US	26	Johnny Depp	1
US	282	Cameron Diaz	2
IT	745	Udo Kier	9
US	503	John Malkovich	14

- ▶  $R \neq \text{natjoin}(R1)(R2)$
- ▶  $\{\text{MOVIEID}, \text{ACTORID}\} \rightarrow \text{ORD}$

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## Anomalies

- ▶ *insert*
  - ▶ data is known but can not be inserted due to constraints
- ▶ *delete*
  - ▶ deleting some data causes some other data to be lost
- ▶ *update*
  - ▶ updating some data requires modifications in multiple tuples

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## Anomaly Examples

### Example

- ▶ The country of the movie Gattaca is US but this data can not be added because no actor is known for the movie.
- ▶ Deleting the data that Gabriel Byrne is in the movie Usual Suspects causes the data that the country of the movie is UK to be deleted.
- ▶ Changing the country of the movie Being John Malkovich as UK requires two tuples to be modified.

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## 2nd Normal Form

### Definition

**2NF:** every non-key attribute depends on the primary key

### transition from 1NF to 2NF

- ▶ in an  $R$  relation that conforms to 1NF:
  - ▶  $R(A, B, C, D)$ , primary key:  $\{A, B\}$
  - ▶  $A \rightarrow D$
- ▶ for it to be 2NF:
  - ▶  $R1(A, D)$ , primary key:  $A$
  - ▶  $R2(A, B, C)$ , primary key:  $\{A, B\}$
  - $A$  is a foreign key referencing  $R1$

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## 1NF-2NF Transition Example

### Example

- ▶ among the non-key attributes, only ORD depends on the primary key
  - ▶  $A$ : MOVIEID
  - ▶  $B$ : ACTORID
  - ▶  $C$ :  $\{\text{NAME}, \text{ORD}\}$
  - ▶  $D$ :  $\{\text{TITLE}, \text{COUNTRY}, \text{LANGUAGE}\}$

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## 1NF-2NF Transition Example

### Example

- ▶  $R1(\text{MOVIEID}, \text{TITLE}, \text{COUNTRY}, \text{LANGUAGE})$   
primary key: MOVIEID
- ▶  $R2(\text{MOVIEID}, \text{ACTORID}, \text{NAME}, \text{ORD})$   
primary key:  $\{\text{MOVIEID}, \text{ACTORID}\}$   
MOVIEID is a foreign key referencing  $R1$

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## 1NF-2NF Transition Example

### Example

- ▶ R2 still not 2NF: ACTORID → NAME
  - ▶ A: ACTORID
  - ▶ B: MOVIEID
  - ▶ C: ORD
  - ▶ D: NAME
- ▶ R3(ACTORID,NAME)
  - primary key: ACTORID
- ▶ R4(MOVIEID,ACTORID,ORD)
  - primary key: {MOVIEID,ACTORID}
  - ACTORID is a foreign key referencing R3

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## 2NF Relation Examples

### Example

Table: R1

MOVIEID	TITLE	COU	LANG
6	Usual Suspects	UK	EN
228	Ed Wood	US	EN
70	Being John Malkovich	US	EN
1512	Suspiria	IT	IT

Table: R3

ACTORID	NAME
308	Gabriel Byrne
26	Johnny Depp
282	Cameron Diaz
745	Udo Kier
503	John Malkovich

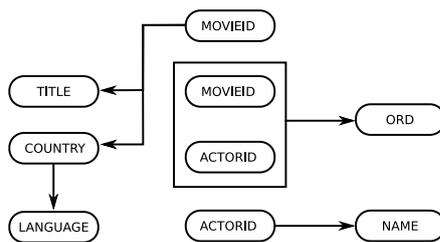
Table: R4

MOVIEID	ACTORID	ORD
6	308	2
228	26	1
70	282	2
1512	745	9
70	503	14

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## Dependency Diagram Example

### Example



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## 2NF Corrected Anomalies

### Example

- ▶ If the country of the movie Gattaca is US, this data can be inserted to R1.
- ▶ If Gabriel Byrne is deleted from the cast list of the movie Usual Suspects, the fact that the country of the movie is UK is preserved in R1.
- ▶ Changing the country of the movie Being John Malkovich requires updating only one tuple in R1.

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## 2NF Remaining Anomalies

### Example

- ▶ It is known that movies made Brasil are in Portuguese, but this data can not be inserted because there is no known movie made in Brasil.
- ▶ Deleting the movie Suspiria causes the data that movies made in Italy are in Italian to be lost.
- ▶ If the language of the movies made in the US is to be changed as American English, two tuples need to be updated.

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## 3rd Normal Form

### Definition

**3NF:** non-key attributes are not dependent on any attribute or attribute group other than the primary key

### transition from 2NF to 3NF

- ▶ in an R relation that conforms to 2NF:
  - ▶ R(A, B, C, D), primary key: A
  - ▶ C → D
- ▶ for it to be 3NF:
  - ▶ R1(C, D), primary key: C
  - ▶ R2(A, B, C), primary key: A
  - C is a foreign key referencing R1

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## 2NF-3NF Transition Example

### Example

- ▶ R1: COUNTRY → LANGUAGE
  - ▶ A: MOVIEID
  - ▶ B: TITLE
  - ▶ C: COUNTRY
  - ▶ D: LANGUAGE
- ▶ R5(COUNTRY, LANGUAGE)
  - primary key: COUNTRY
- ▶ R6(MOVIEID, TITLE, COUNTRY)
  - primary key: MOVIEID
  - COUNTRY is a foreign key referencing R5

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## 3NF Relation Examples

### Example

Table: R6

MOVIEID	TITLE	COU
6	Usual Suspects	UK
228	Ed Wood	US
70	Being John Malkovich	US
1512	Suspiria	IT

Table: R5

COU	LANG
UK	EN
US	EN
IT	IT

Table: R3

ACTORID	NAME
308	Gabriel Byrne
26	Johnny Depp
282	Cameron Diaz
745	Udo Kier
503	John Malkovich

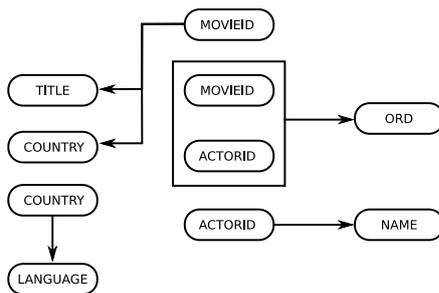
Table: R4

MOVIEID	ACTORID	ORD
6	308	2
228	26	1
70	282	2
1512	745	9
70	503	14

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## Dependency Diagram Example

### Example



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## 3NF Corrected Anomalies

### Example

- ▶ If it is known that movies made in Brasil are in Portuguese, this data can be added to R5.
- ▶ If the movie Suspiria is deleted, the data that movies made in Italy are in Italian is preserved in R5.
- ▶ If the language of the movies made in the US has to be updated as American English, only one tuple in R5 has to be modified.

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## Boyce-Codd Normal Form

### Definition

**BCNF:** all functional dependencies must be on candidate keys

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## BCNF Example

### Example (let movie titles be unique)

- ▶ candidate keys:
  - ▶ {MOVIEID,ACTORID}
  - ▶ {TITLE,ACTORID}
- ▶ non-conforming functional dependencies:
  - ▶ MOVIEID → TITLE
  - ▶ TITLE → MOVIEID

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## References

Required text: Date

- ▶ Chapter 11: **Functional Dependencies**
- ▶ Chapter 12: **Further Normalization I: 1NF, 2NF, 3NF, BCNF**

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## Entity/Relationship Model

- ▶ modelling approach
  - ▶ Chen 1976
- ▶ components
  - ▶ entities
  - ▶ properties
  - ▶ relationships

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## Entities

### Definition

#### entity:

set of "things" with the same attributes

- ▶ elements of the set are *instances* of the entity
- ▶ *strong*: can exist by itself
- ▶ *weak*: existence depends on another entity

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## Entity Examples

### Example

- ▶ entity: movie, director, actor
- ▶ instance: Johnny Depp
- ▶ strong entity: director
- ▶ weak entity: movie

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## Properties

### Definition

#### property:

data describing entities or relationships

- ▶ simple / composite
- ▶ key
- ▶ single / multiple valued
- ▶ empty
- ▶ base / derived

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## Property Examples

### Example

- ▶ property: title, country, language
- ▶ simple: first name, last name
- ▶ composite: full name
- ▶ base: date of birth
- ▶ derived: age

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## Relationships

### Definition

#### relationship:

connections between entities

- ▶ *participant*: entities in the relationship
- ▶ *degree*: number of participants
- ▶ *total / partial*: all instances of the entity do / don't participate in the relationship

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## Relationship Types

- ▶ *one-to-one*
- ▶ *one-to-many* or *many-to-one*
- ▶ *many-to-many*

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## Relationship Examples

### Example (one-to-one)

- ▶ marriage

### Example (one-to-many)

- ▶ director-movie

### Example (many-to-many)

- ▶ actor-movie

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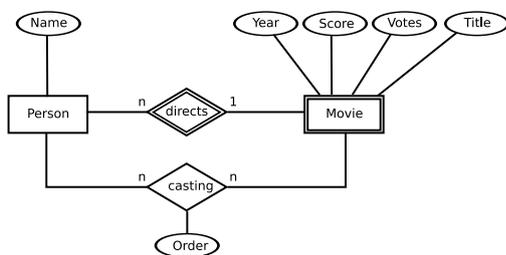
## Entity/Relationship Diagrams

- ▶ entity: rectangle
  - ▶ weak: double lines
- ▶ property: ellipsis
  - ▶ derived: dashed lines
  - ▶ multi-valued: double lines
  - ▶ composite: sub-ellipses
- ▶ relationship: diamond
  - ▶ between weak and strong: double lines
  - ▶ total: connection double lines
  - ▶ 1 or n depending on the type of the relationship

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## Entity/Relationship Diagram Example

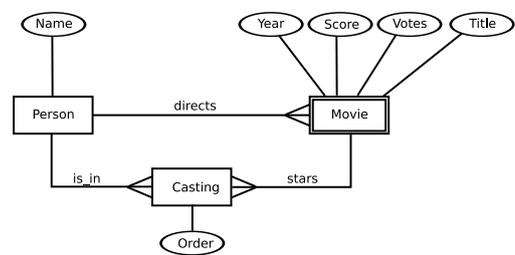
### Example



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## Entity/Relationship Diagram Example

### Example



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## Applying to Design

- ▶ every entity a relation
- ▶ every property an attribute
- ▶ every many-to-many relationship a relation
  - ▶ foreign keys to participating entities
- ▶ for every many-to-one relationship a foreign from the "many" side to the "one" side

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## References

Required text: [Date](#)

- ▶ Chapter 14: [Semantic Modeling](#)

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