

Database Systems

Database Design

H. Turgut Uyar Şule Öğüdücü

2002-2010

1 / 44

License



©2002-2010 T. Uyar, Ş. Öğüdücü

You are free:

- ▶ to Share — to copy, distribute and transmit the work
- ▶ to Remix — to adapt the work

Under the following conditions:

- ▶ Attribution — You must attribute the work in the manner specified by the author or licensor (but not in any way that suggests that they endorse you or your use of the work).
- ▶ Noncommercial — You may not use this work for commercial purposes.
- ▶ Share Alike — If you alter, transform, or build upon this work, you may distribute the resulting work only under the same or similar license to this one.

Legal code (the full license):

<http://creativecommons.org/licenses/by-nc-sa/3.0/>

2 / 44

Topics

Normalization

Introduction
Normal Forms
3rd Normal Form

Entity/Relationship Model

Introduction
E/R Diagrams

3 / 44

Functional Dependency

Definition

- ▶ let Z be the set of all attributes of relation R , and $A, B \subseteq Z$
- ▶ **A functionally determines B :** $A \rightarrow B$
for every A value there is only one B value
- ▶ every functional dependency is an integrity constraint

4 / 44

Functional Dependency Examples

Example

Table: R

MOVIEID	TITLE	COU	LANG	ACTORID	NAME	ORD
6	Usual Suspects	UK	EN	308	Gabriel Byrne	2
228	Ed Wood	US	EN	26	Johnny Depp	1
70	Being John Malkovich	US	EN	282	Cameron Diaz	2
1512	Suspiria	IT	IT	745	Udo Kier	9
70	Being John Malkovich	US	EN	503	John Malkovich	14

- ▶ assumption: the language of the movie is the language of the country where it was made

5 / 44

Functional Dependency Examples

Example

- ▶ $MOVIEID \rightarrow COUNTRY$
- ▶ $ACTORID \rightarrow NAME$
- ▶ $MOVIEID \rightarrow \{TITLE, COUNTRY, LANGUAGE\}$
- ▶ $\{MOVIEID, ACTORID\} \rightarrow COUNTRY$
- ▶ $\{MOVIEID, ACTORID\} \rightarrow MOVIEID$
- ▶ $\{MOVIEID, ACTORID\} \rightarrow ORD$
- ▶ $\{MOVIEID, ACTORID\} \rightarrow \{COUNTRY, ORD\}$
- ▶ $COUNTRY \rightarrow LANGUAGE$

6 / 44

Irreducible Set

- ▶ S : the set of all FDs of the relation
- ▶ $T \subseteq S$, such that
 - ▶ T contains as few elements as possible
 - ▶ every FD in S can be derived by the FDs in T
- ▶ let there be only one attribute on the right hand side of FDs

7 / 44

Irreducible Set Example

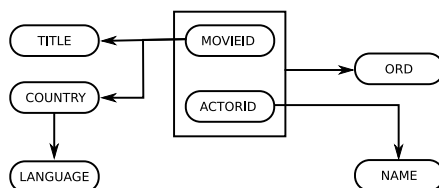
Example

- ▶ $\text{MOVIEID} \rightarrow \text{TITLE}$
- ▶ $\text{MOVIEID} \rightarrow \text{COUNTRY}$
- ▶ $\text{COUNTRY} \rightarrow \text{LANGUAGE}$
- ▶ $\text{ACTORID} \rightarrow \text{NAME}$
- ▶ $\{\text{MOVIEID}, \text{ACTORID}\} \rightarrow \text{ORD}$

8 / 44

Dependence Diagram

Example



9 / 44

Normal Forms

- ▶ 1NF, 2NF, 3NF, BCNF, 4NF, 5NF
- ▶ every form narrows down the scope of the previous form
 - ▶ every relation in 2NF is also in 1NF
 - ▶ every relation in 3NF is also in 2NF, ...
- ▶ 1NF: attribute values are atomic

10 / 44

Normalization

Definition

normalization:

transition from one form to the next, narrower form

- ▶ transition between normal forms must be lossless

Theorem (Heath)

- ▶ Z : R the set of all attributes of the relation
- ▶ $A, B, C \subseteq Z$
- ▶ if $A \rightarrow B$, then R can be obtained by joining the relations $\{A, B\}$ and $\{A, C\}$

11 / 44

Lossless Transition Example

Example

Table: R1

MOVIEID	TITLE	COU	LANG
6	Usual Suspects	UK	EN
228	Ed Wood	US	EN
70	Being John Malkovich	US	EN
1512	Suspiria	IT	IT

Table: R2

MOVIEID	ACTORID	NAME	ORD
6	308	Gabriel Byrne	2
228	26	Johnny Depp	1
70	282	Cameron Diaz	2
1512	745	Udo Kier	9
70	503	John Malkovich	14

- ▶ $R = \text{natjoin}(R1)(R2)$

12 / 44

Lossy Transition Example

Example

Table: R1

MOVIEID	TITLE	COU	LANG
6	Usual Suspects	UK	EN
228	Ed Wood	US	EN
70	Being John Malkovich	US	EN
1512	Suspiria	IT	IT

Table: R2

COU	ACTORID	NAME	ORD
UK	308	Gabriel Byrne	2
US	26	Johnny Depp	1
US	282	Cameron Diaz	2
IT	745	Udo Kier	9
US	503	John Malkovich	14

- ▶ $R \neq \text{natjoin}(R1)(R2)$
- ▶ $\{\text{MOVIEID}, \text{ACTORID}\} \rightarrow \text{ORD}$

13 / 44

Anomalies

- ▶ *insert*
 - ▶ data is known but can not be inserted due to constraints
- ▶ *delete*
 - ▶ deleting some data causes some other data to be lost
- ▶ *update*
 - ▶ updating some data requires modifications in multiple tuples

14 / 44

Anomaly Examples

Example

- ▶ The country of the movie Gattaca is US but this data can not be added because no actor is known for the movie.
- ▶ Deleting the data that Gabriel Byrne is in the movie Usual Suspects causes the data that the country of the movie is UK to be deleted.
- ▶ Changing the country of the movie Being John Malkovich as UK requires two tuples to be modified.

15 / 44

2nd Normal Form

Definition

2NF: every non-key attribute depends on the primary key

transition from 1NF to 2NF

- ▶ in an R relation that conforms to 1NF:
 - ▶ $R(A, B, C, D)$, primary key: $\{A, B\}$
 - ▶ $A \rightarrow D$
- ▶ for it to be 2NF:
 - ▶ $R1(A, D)$, primary key: A
 - ▶ $R2(A, B, C)$, primary key: $\{A, B\}$
 A is a foreign key referencing $R1$

16 / 44

1NF-2NF Transition Example

Example

- ▶ among the non-key attributes, only ORD depends on the primary key
 - ▶ A: MOVIEID
 - ▶ B: ACTORID
 - ▶ C: $\{\text{NAME}, \text{ORD}\}$
 - ▶ D: $\{\text{TITLE}, \text{COUNTRY}, \text{LANGUAGE}\}$

17 / 44

1NF-2NF Transition Example

Example

- ▶ $R1(\text{MOVIEID}, \text{TITLE}, \text{COUNTRY}, \text{LANGUAGE})$
primary key: MOVIEID
- ▶ $R2(\text{MOVIEID}, \text{ACTORID}, \text{NAME}, \text{ORD})$
primary key: $\{\text{MOVIEID}, \text{ACTORID}\}$
MOVIEID is a foreign key referencing R1

18 / 44

1NF-2NF Transition Example

Example

- R2 still not 2NF: $ACTORID \rightarrow NAME$
 - A: ACTORID
 - B: MOVIEID
 - C: ORD
 - D: NAME
- R3(ACTORID,NAME)
primary key: ACTORID
- R4(MOVIEID,ACTORID,ORD)
primary key: {MOVIEID,ACTORID}
ACTORID is a foreign key referencing R3

19 / 44

2NF Relation Examples

Example

Table: R1

MOVIEID	TITLE	COU	LANG
6	Usual Suspects	UK	EN
228	Ed Wood	US	EN
70	Being John Malkovich	US	EN
1512	Suspiria	IT	IT

Table: R3

ACTORID	NAME
308	Gabriel Byrne
26	Johnny Depp
282	Cameron Diaz
745	Udo Kier
503	John Malkovich

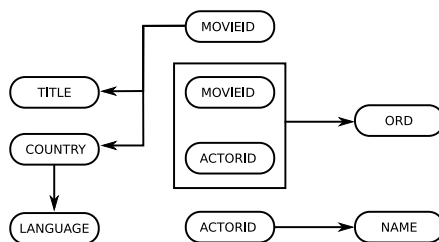
Table: R4

MOVIEID	ACTORID	ORD
6	308	2
228	26	1
70	282	2
1512	745	9
70	503	14

20 / 44

Dependency Diagram Example

Example



21 / 44

2NF Corrected Anomalies

Example

- If the country of the movie Gattaca is US, this data can be inserted to R1.
- If Gabriel Byrne is deleted from the cast list of the movie Usual Suspects, the fact that the country of the movie is UK is preserved in R1.
- Changing the country of the movie Being John Malkovich requires updating only one tuple in R1.

22 / 44

2NF Remaining Anomalies

Example

- It is known that movies made Brasil are in Portuguese, but this data can not be inserted because there is no known movie made in Brasil.
- Deleting the movie Suspiria causes the data that movies made in Italy are in Italian to be lost.
- If the language of the movies made in the US is to be changed as American English, two tuples need to be updated.

23 / 44

3rd Normal Form

Definition

3NF: non-key attributes are not dependent on any attribute or attribute group other than the primary key

transition from 2NF to 3NF

- in an R relation that conforms to 2NF:
 - $R(A, B, C, D)$, primary key: A
 - $C \rightarrow D$
- for it to be 3NF:
 - $R1(C, D)$, primary key: C
 - $R2(A, B, C)$, primary key: A
C is a foreign key referencing R1

24 / 44

2NF-3NF Transition Example

Example

- ▶ R1: COUNTRY → LANGUAGE
 - ▶ A: MOVIEID
 - ▶ B: TITLE
 - ▶ C: COUNTRY
 - ▶ D: LANGUAGE
- ▶ R5(COUNTRY, LANGUAGE)
 - primary key: COUNTRY
- ▶ R6(MOVIEID, TITLE, COUNTRY)
 - primary key: MOVIEID
 - COUNTRY is a foreign key referencing R5

25 / 44

3NF Relation Examples

Example

Table: R6

MOVIEID	TITLE	COU
6	Usual Suspects	UK
228	Ed Wood	US
70	Being John Malkovich	US
1512	Suspiria	IT

Table: R5

COU	LANG
UK	EN
US	EN
IT	IT

Table: R3

ACTORID	NAME
308	Gabriel Byrne
26	Johnny Depp
282	Cameron Diaz
745	Udo Kier
503	John Malkovich

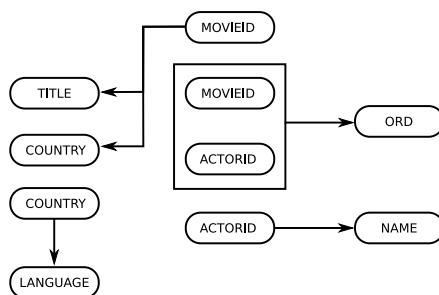
Table: R4

MOVIEID	ACTORID	ORD
6	308	2
228	26	1
70	282	2
1512	745	9
70	503	14

26 / 44

Dependency Diagram Example

Example



27 / 44

3NF Corrected Anomalies

Example

- ▶ If it is known that movies made in Brasil are in Portuguese, this data can be added to R5.
- ▶ If the movie Suspiria is deleted, the data that movies made in Italy are in Italian is preserved in R5.
- ▶ If the language of the movies made in the US has to be updated as American English, only one tuple in R5 has to be modified.

28 / 44

Boyce-Codd Normal Form

Definition

BCNF: all functional dependencies must be on candidate keys

29 / 44

BCNF Example

Example (let movie titles be unique)

- ▶ candidate keys:
 - ▶ {MOVIEID,ACTORID}
 - ▶ {TITLE,ACTORID}
- ▶ non-conforming functional dependencies:
 - ▶ MOVIEID → TITLE
 - ▶ TITLE → MOVIEID

30 / 44

References

Required text: Date

- ▶ Chapter 11: Functional Dependencies
- ▶ Chapter 12: Further Normalization I: 1NF, 2NF, 3NF, BCNF

31 / 44

Entity/Relationship Model

- ▶ modelling approach
 - ▶ Chen 1976
- ▶ components
 - ▶ entities
 - ▶ properties
 - ▶ relationships

32 / 44

Entities

Definition

entity:

set of "things" with the same attributes

- ▶ elements of the set are *instances* of the entity
- ▶ *strong*: can exist by itself
- ▶ *weak*: existence depends on another entity

33 / 44

Entity Examples

Example

- ▶ entity: movie, director, actor
- ▶ instance: Johnny Depp
- ▶ strong entity: director
- ▶ weak entity: movie

34 / 44

Properties

Definition

property:

data describing entities or relationships

- ▶ simple / composite
- ▶ key
- ▶ single / multiple valued
- ▶ empty
- ▶ base / derived

35 / 44

Property Examples

Example

- ▶ property: title, country, language
- ▶ simple: first name, last name
- ▶ composite: full name
- ▶ base: date of birth
- ▶ derived: age

36 / 44

Relationships

Definition

relationship:

connections between entities

- ▶ *participant*: entities in the relationship
- ▶ *degree*: number of participants
- ▶ *total* / *partial*: all instances of the entity do / don't participate in the relationship

37 / 44

Relationship Types

- ▶ *one-to-one*
- ▶ *one-to-many* or *many-to-one*
- ▶ *many-to-many*

38 / 44

Relationship Examples

Example (one-to-one)

- ▶ marriage

Example (one-to-many)

- ▶ director-movie

Example (many-to-many)

- ▶ actor-movie

39 / 44

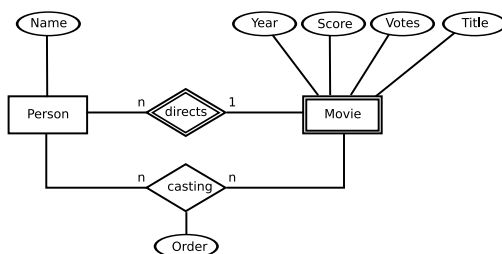
Entity/Relationship Diagrams

- ▶ entity: rectangle
 - ▶ weak: double lines
- ▶ property: ellipsis
 - ▶ derived: dashed lines
 - ▶ multi-valued: double lines
 - ▶ composite: sub-ellipses
- ▶ relationship: diamond
 - ▶ between weak and strong: double lines
 - ▶ total: connection double lines
 - ▶ 1 or n depending on the type of the relationship

40 / 44

Entity/Relationship Diagram Example

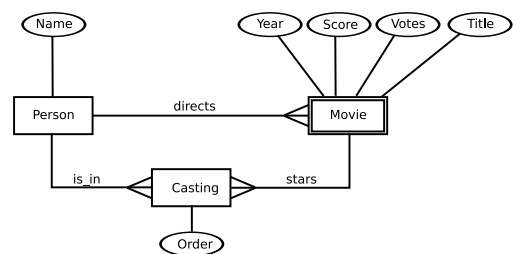
Example



41 / 44

Entity/Relationship Diagram Example

Example



42 / 44

Applying to Design

- ▶ every entity a relation
- ▶ every property an attribute
- ▶ every many-to-many relationship a relation
 - ▶ foreign keys to participating entities
- ▶ for every many-to-one relationship a foreign from the "many" side to the "one" side

43 / 44

References

Required text: [Date](#)

- ▶ Chapter 14: [Semantic Modeling](#)

44 / 44